

Retention of Assessment Materials Policy

As an approved awarding organisation ASDAN must maintain rigorous quality assurance and control arrangements as outlined in the General/Standard Conditions of Recognition.

Awarding organisations are required to monitor centres to ensure they are acting in accordance with the Conditions. This includes keeping records of candidates' registration and achievements, and ensuring that the specified levels of attainment set for ASDAN qualifications remain consistent over time and between similar qualifications.

The following guidance is provided to centres on the retention of assessment materials, both paper- and electronic-based:

1. Centres must maintain
 - registration records of all candidates registered with the awarding organisation. These records must include all the details requested by the awarding organisation at registration. They must be retained and available for inspection by the awarding organisation or regulators for up to five years following registration.
 - ongoing records of candidates' formative and summative achievements. These materials may be useful in the event of reasonable adjustments, or irregularities or appeal, and access to this information may be requested by the awarding organisation, or regulator. Summative records must be retained and available for inspection by the awarding organisation for up to one year following the receipt of results (or outcome of any appeal). Summative materials include copies of staff records of candidate achievements and copies of individual candidate record sheets or assessment checklists.
 - records of all final results and details of certification for registered candidates. These must be retained and available for inspection by the awarding organisation or regulators for a minimum of three years following registration.
2. Portfolios of evidence are the property of the candidate and therefore cannot be retained indefinitely. However access may be required for purposes of appeal, audit or on-going monitoring. It is advisable to retain candidate work (e.g. portfolios) after moderation and for a short period (e.g. up to six months) following the issue of results to facilitate access at this time. Evidence is then returned to the candidate on condition that further access may be required at a later date.
3. Centres are also required to keep representative samples of candidate work for their own monitoring and standardisation activities. For each qualification, there should be a minimum sample of one complete candidate portfolio, for each academic year. The portfolio may be a copy rather than the original, and the candidate's written agreement for its retention must be obtained. These samples should be held by the centre for three years.

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4. Centres may be required to supply examples of candidate work to the awarding organisation (or regulator) for purposes of ongoing monitoring of standards. These may be copied and retained, but originals will be returned. This material will remain confidential to the awarding organisation and regulators, unless specific permission is sought of the centre/candidate for it to be used for training and/or standardisation purposes. Portfolios may be kept by the awarding organisation for up to 5 years, in line with GDPR and ASDAN's Data Privacy policy.

All records may be maintained and retained electronically and/or using paper-copy. The timescales referred to above are the minimum requirements of the awarding organisation. Many centres may wish to retain some information, on candidate achievement for example, indefinitely.

ASDAN takes the data privacy of its customers very seriously and is committed to ensuring that all personal data it handles adheres to the General Data Protection Regulation (GDPR). The documents below provide more information on how we work to the highest standards in protecting the data of our centres and candidates.

As an awarding organisation ASDAN will retain and make available to the regulators assessment materials for each qualification, in line with regulatory requirements and ASDAN's GDPR and Privacy policies*, in the following ways:

1. Registration records of all candidates from all centres.
2. Records of moderation, including sampling records and reports from all centres.
3. Centres may be requested to supply examples of candidate work to the awarding organisation (or regulator) for purposes of ongoing monitoring of standards. These may be copied and retained, but originals will be returned. This material will remain confidential to the awarding organisation and regulators, unless specific permission is obtained from the centre/candidate for it to be used for training and/or standardisation purposes.
4. Records of all final results, including exemptions, and details of all certificates issued to registered candidates.
5. Records of all reasonable adjustments, irregularities, enquiries and appeals.
6. All data specified and requested by the regulators will be supplied.

All records specified in 1-6 above will be retained electronically and/or using paper-copy.

*<https://www.asdan.org.uk/policies-regulations-and-centre-guidance/>